

CAN THE POLARIZATION OF  
THE STRANGE QUARK BE POSITIVE?  
AND  
WHY DOES IT MATTER??

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understanding - the spin structure of nucleons

1) The problem of flavour separation.

2) The HERMES SIDIS results

3) The "almost impossibility" of

$$\int_0^1 \Delta s(x) \geq 0$$

b) Misuse of Bjorken Sum Rule.

4) Lessons for COMPASS, RHIC, ...

[ work with SIDOROV and STAMENOV ]

Phys. Rev.

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i) The problem of flavour separation.

In LO

$$g_1^{\dagger} = \frac{1}{2} \left\{ \frac{4}{9} (\Delta u(x) + \Delta \bar{u}(x)) + \frac{1}{9} (\Delta d(x) + \Delta \bar{d}(x)) \right. \\ \left. + \frac{1}{9} (\Delta s(x) + \Delta \bar{s}(x)) \right\}$$

MANIFESTLY CLEAR : CAN ONLY MEASURE

$$\Delta g(x) + \Delta \bar{g}(x)$$

$\therefore$  IN PRINCIPLE NO INFORMATION ABOUT

$$\Delta \bar{u}(x), \Delta \bar{d}(x), \Delta \bar{s}(x) \text{ vs } \Delta s(x)$$



Convenient to define

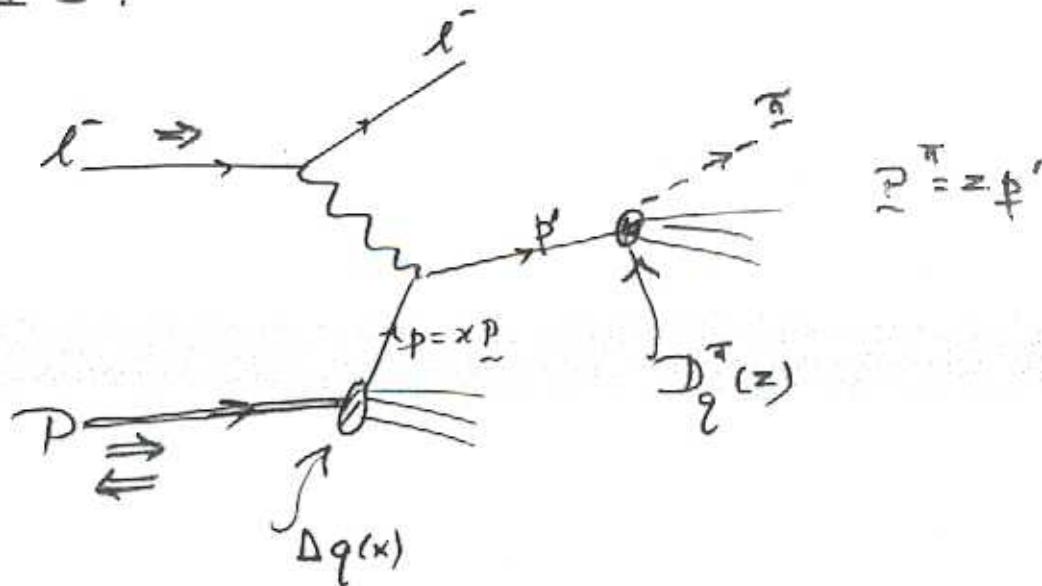
$$\Delta g_3(x) = [\Delta u(x) + \Delta \bar{u}(x)] - [\Delta d(x) + \Delta \bar{d}(x)]$$

$$\Delta g_8(x) = \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} - 2(\Delta s + \Delta \bar{s})$$

$$\Delta \Sigma(x) = \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}$$

How can we ever study  $\Delta \bar{u}, \Delta \bar{d}$ ?

SIDIS:



$$\begin{aligned} \frac{d^2 \Delta \sigma}{dx dz} &\sim \frac{4}{9} [\Delta u D_u^{\pi} + \Delta \bar{u} D_{\bar{u}}^{\pi}] \\ &+ \frac{1}{9} [\Delta d D_d^{\pi} + \Delta \bar{d} D_{\bar{d}}^{\pi}] \\ &+ \frac{1}{9} [\Delta s D_s^{\pi} + \Delta \bar{s} D_{\bar{s}}^{\pi}] \end{aligned}$$

$\Rightarrow$  in principle can learn separately  
about  $\Delta \bar{u}, \Delta \bar{d}$

IF we know Fragmentation functions  $D_q^{\pi}$

Some question about how well we  
know FRAG. FUNCTIONS, BUT GREAT HOPE  
for near future is polarized SIDIS —  
HERMES + COMPASS

ONLY HOPE FOR NEAR FUTURE

SIDIS

∴ very important that we  
understand SIDIS

and

that we can believe SIDIS results.

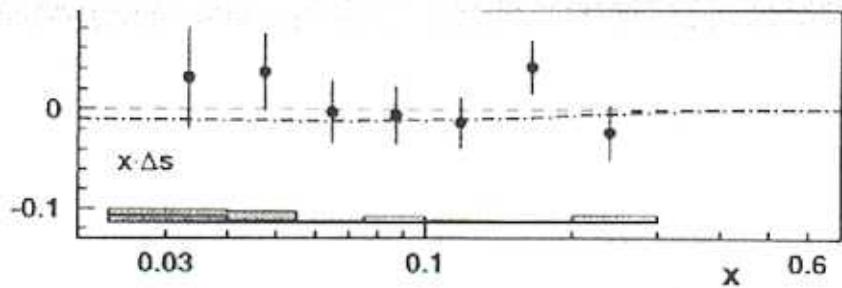
HERMES result on  $\Delta s + \Delta \bar{s}$  (new) (2003)

and on  $\Delta u$  and  $\Delta d$  (old) (1999)

are problematic!

(Fig 1)

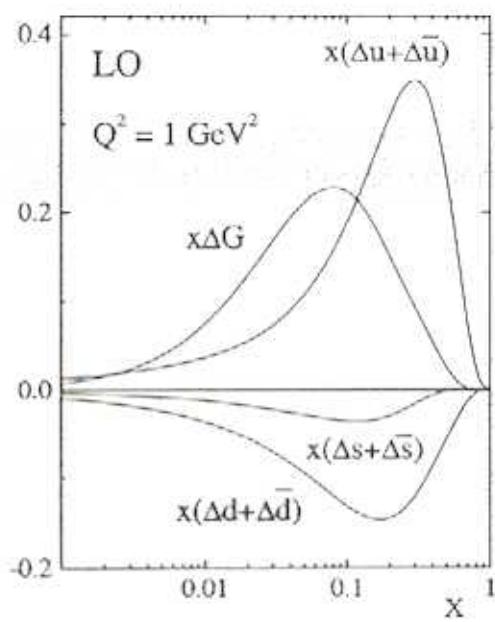
(Fig 2, 3)



HERMES : SEMI INCLUSIVE

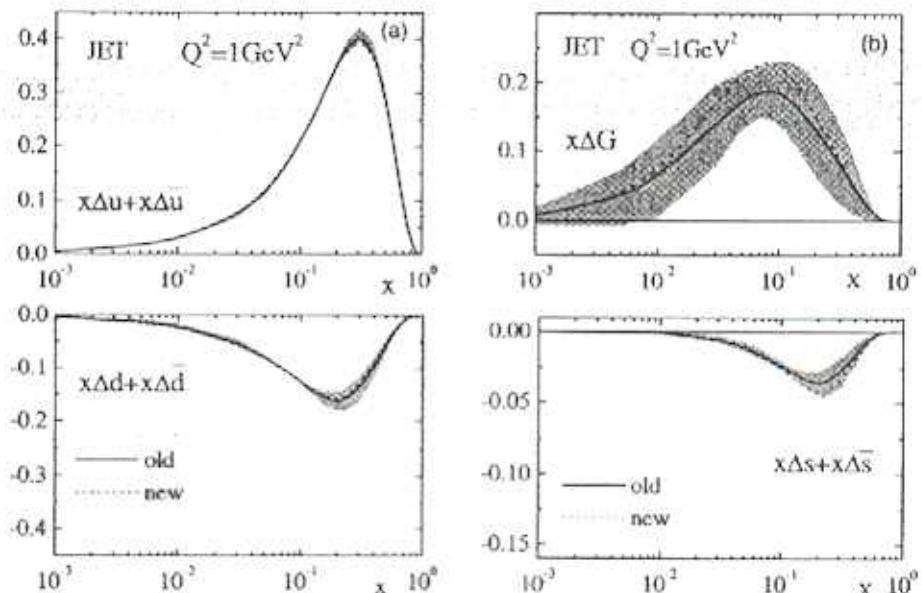
$\pi^\pm + \kappa^\pm$

L O ANALYSIS



Inclusive DIS

LO



Inclusion D I S

NLO Analysis

1

Fig 3

Is it possible that, because of lack of flavour sensitivity, the INCLUSIVE DIS analyses are incorrect ?

[No] : IT IS "ALMOST IMPOSSIBLE" FOR THE FIRST MOMENT

$$\Delta s(Q^2) = \int_0^1 dx [\Delta s(x, Q^2) + \Delta \bar{s}(x, Q^2)]$$

TO BE POSITIVE.



In all analyses of DIS, to help with flavour separation, impose Bjorken Sum Rule (come back to this later)

$$a_3 = \int_0^1 \Delta g_3(x, Q^2) dx = g_A/g_V = 1.2670 \pm 0.0035$$



$$\int_0^1 dx \{ (\Delta u + \Delta \bar{u}) - (\Delta d + \Delta \bar{d}) \}$$

Usually, also impose:

$$a_8 = \int d\chi \Delta g_8(\chi, Q^2) = 3F - D$$
$$= 0.585 \pm 0.025$$



Based on analysis of  
HYPERON  $\beta$ -DECAYS, ASSUMING  $SU(3)_F$   
IS A GOOD SYMMETRY.

No evidence AGAINST  $SU(3)_F$  IN THESE  
DECAYS, BUT CANNOT BE AN EXACT  
SYMMETRY :

VARIOUS STUDIES SUGGEST  
BREAKING  $\approx 10\%$ .

NEW KTeV EXPT:  $\Xi^0 \rightarrow \Sigma^+ e^- \bar{\nu}$   
SUPPORTS THIS.

WE WILL DOUBLE THE UNCERTAINTY  
AND INSIST THAT

$$0.47 \leq a_8 \leq 0.70$$

NOW WRITE

$$\begin{aligned}\Gamma_1^P(Q^2) &= \int_0^1 g_1^P(x, Q^2) dx \\ &= \frac{1}{6} \left\{ \frac{1}{2} a_3 + \frac{5}{6} a_8 + 2 \delta_s(Q^2) \right\}\end{aligned}$$



$$a_8 = \frac{6}{5} \left\{ 6 \Gamma_1^P(Q^2) - \frac{1}{2} a_3 - 2 \delta_s(Q^2) \right\}$$

STRATEGY FOR RHS:

$a_3$  VERY WELL KNOWN

$\Gamma_1^P(Q^2)$  FROM EXPT (WITH CARE!)

THEN SHOW THAT  $\delta_s(Q^2) \geq 0$

$\Rightarrow$  CRAZY VALUE FOR  $a_8$

PROBLEM IS TO GET RELIABLE VALUE FOR  $\Gamma_1^P$

--- DEPENDS ON EXTRAPOLATION  
OF DATA TO  $x=0$  AND  $x=1$

TWO EXTREMES:

Scenario  $S_1$  : ASSUME PQCD AT SMALL  $x$  :  
( $\epsilon \approx 155$  etc) ( $Q^2 \approx 5$ )

$$\Gamma_1^P = 0.118 \pm 0.004 \pm 0.007 \quad (S_1)$$

Scenario  $S_2$  : ASSUME REGGE AT SMALL  $x$  :  
( $\epsilon \approx 143$  etc) ( $Q^2 \approx 3$ )

$$\Gamma_1^P = 0.133 \pm 0.003 \pm 0.009 \quad (S_2)$$

THEN IN

$$a_8 = \frac{6}{5} \left\{ 6 \Gamma_1^P - \frac{1}{2} a_3 - 2 \delta_s \right\}$$

$$\delta_s \geq 0 \quad \Rightarrow$$

$$a_8 \leq 0.089 \pm 0.058 \quad (S_1)$$

$$a_8 \leq 0.197 \pm 0.068 \quad (S_2)$$

RECALL FIRM CONVENTION THAT

$$0.47 \leq a_8 \leq 0.70$$

WITH  $\pm 20\%$  BREAKING OF  $SU(3)_F$ .

CONCLUSION :

$$\delta_s = \int_0^1 dx [\Delta_s + \Delta_{\bar{s}}] \geq 0$$

$\Rightarrow$  DRAMATIC BREAKING  
OF  $SU(3)_F$

MANY TIMES OUTSIDE THE EXPECTED  
RANGE OF BREAKING.

$\therefore$  IT IS "ALMOST IMPOSSIBLE"  
FOR  $\delta_s$  TO BE  $\geq 0$ .

## Implications.

- 1) Either: something is wrong with HERMES
- 2) Or: our understanding of these reactions is incomplete.

1) HERMES has NOT published their data (14 months!)

???

Is their data incorrect?

Is their analysis of their data incorrect?

Since only hope to learn about  $\Delta\bar{u}$ ,  $\Delta\bar{d}$  in near future is based on SIDIS  
this is extremely worrying.

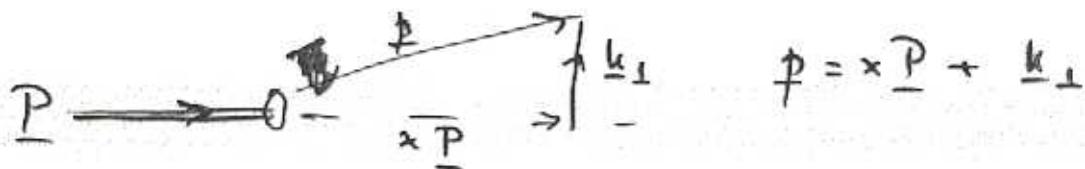
2) Possibly the theoretical treatment is incomplete — linked to aspects we are studying here with Anselmino, Murgia and d'Alesio — i.e. the role of INTRINSIC TRANSVERSE MOMENTUM

(?)

In standard picture kinematics is collinear:



Yet, by uncertainty Relation  $\langle \underline{k}_{\perp} \rangle \neq 0$



Can introduce  $\hat{g}(x, \underline{k}_{\perp}^2)$

$$g(x) = \int_0^{Q^2} d^2 \underline{k}_{\perp} \hat{g}(x, \underline{k}_{\perp}^2)$$

Now in DIS, we don't measure any  $\underline{k}_{\perp}$ .

i.e. we don't detect struck quark or its fragments.

$\therefore g(x)$  is relevant.

But in SIDIS, we do detect struck quark or, at least, its fragments, but only out to some  $p_T^{\text{MAX}}$  fixed by apparatus.

So maybe only

$$\int_0^{p_{\perp}^{\text{max}}} \tilde{g}(x, k_{\perp}^2) d^2 k_{\perp} \neq g(x)$$

is controlling the behaviour.

This is interesting per se

BUT

catastrophic to our hopes to use  
SIDIS to study  $\Delta \bar{u}(x), \Delta \bar{d}(x)$ .

We await publication of HERMES  
data with much anticipation !

However, There are OTHER problems  
in HERMES analysis (1999 expt),

Look at Bjorken sum rule at NLO:

BJORKEN Sum Rule — A REMINDER

$$1) \int_0^1 dx \left[ g_1^p(x, Q^2) - g_1^n(x, Q^2) \right] = \frac{1}{6} \left( \frac{g_A}{g_N} \right) \delta C_{NS,1}(Q^2)$$

$\delta C_{NS,1}$  = 1<sup>st</sup> MOMENT OF WILSON COEFFT  
FUNCTION

$$\delta C_{NS}(x, Q^2)$$

$$= 1 + \frac{\alpha_s(Q^2)}{\pi} \delta C_{NS,1}^{(1)}$$

$$+ \left( \frac{\alpha_s(Q^2)}{\pi} \right)^2 \delta C_{NS,1}^{(2)} \dots$$

$$2) g_1^p(x, Q^2) - g_1^n(x, Q^2) = \frac{1}{6} \Delta g_3(x, Q^2) \underset{\text{CONVOLUTION}}{\otimes} \delta C_{NS}(x, Q^2)$$

$$\int_0^1 dx \Delta g \otimes \delta C_{NS} = \int_0^1 dx \Delta g(x) \cdot \int_0^1 dx \delta C_{NS}$$

$$= \int_0^1 dx \Delta g(x) \cdot \delta C_{NS,1}$$

$\therefore$  LHS of Bjorken Sum Rule

$$= \frac{1}{6} \int_0^1 dx \left\{ (\Delta u + \Delta \bar{u}) - (\Delta d + \Delta \bar{d}) \right\} \cdot \delta C_{NS,1}(q')$$

= RHS

$$= \frac{1}{6} \left( g_A/g_V \right) \cdot \delta C_{NS,1}(q')$$

Thus

$$\begin{aligned} a_3 &\equiv \int_0^1 dx \left\{ (\Delta u + \Delta \bar{u}) - (\Delta d + \Delta \bar{d}) \right\} \\ &= g_A/g_V \quad \text{INDEP OF } Q^2 \end{aligned}$$

(Rigorous result linked to  
conservation of isotopic spin)

PROBLEMS IN THE OLD HERMES ANALYSIS FOR  
 $\Delta u, \Delta d$ .

( Sissakian, Shevchenko, Ivanov : hep-ph/0307189  
BUT  
ACTUALLY POINTED OUT SOME YEARS AGO  
By D. STAMENOV )

HERMES OBTAINS: LO ANALYSIS

$$a_3 = 0.82 \pm 0.06 \pm 0.06$$

INSTEAD OF

$$g_A/g_V = 1.2607 \pm 0.0035$$

THEY SAY THIS IS OK! WHY?

IN LO:

$$\text{LHS}_{B_j} = \int_0^1 dx [g_1^p - g_1^n] = \frac{1}{6} \int_0^1 dx [\Delta u + \Delta \bar{u} - \Delta d - \Delta \bar{d}]$$

$$= a_3$$

$$\text{RHS}_{B_j} = \frac{1}{6} \left( \frac{g_A}{g_V} \right) \left[ 1 - \frac{\alpha_s(Q)}{\pi} \dots \right]$$

IS COMPATIBLE WITH  $0.82 \pm 0.06 \pm 0.06$   
AT  $\langle Q^2 \rangle \approx 2.5$

NONSENSE !

(6)

$$i) \quad a_3 = \int_0^1 dx [ \Delta u + \Delta \bar{u} - \Delta d - \Delta \bar{d} ]$$

is INDEPENDENT of  $Q^2$

to ALL ORDERS of PQCD

2) If, EXPERIMENTALLY, you FIND

$$\int_0^1 dx [ g_1^A(x, Q^2) - g_1^n(x, Q^2) ]$$

$$\neq \frac{1}{6} g_A/g_V$$

IT IS TELLING YOU THAT AT YOUR  
 $Q^2$  a LO TREATMENT IS  
WRONG.

You CANNOT use LO on LHS of Bjorken  
and NLO on RHS }  
                          |

## CONCLUSIONS

- 1) THE GREAT HOPE FOR UNDERSTANDING  
THE SPIN STRUCTURE OF THE NUCLEON  
IN THE NEAR FUTURE IS  
SEMI-INCLUSIVE DIS.
- 2) UNFORTUNATELY THE HERMES  
polarized parton densities are  
unreliable.
- 3) ASSUMING THE DATA IS OK,  
problem may be use of LO  
analysis of  $g_1$  without HIGHER  
TWIST CORRECTIONS. MAY ALSO BE  
LINKED TO relatively small  $p_T$   
of the experiment.

4) WHAT ABOUT AN NLO ANALYSIS ??

Several groups are ready to  
do it, but they need the  
DATA !

So, please, publish or release  
the data.