

Physics with Polarized Beams (II)

A tutorial for experimenters,
accelerator physicists, and students

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Brief summary of last lecture :

(1) Spin and Polarization

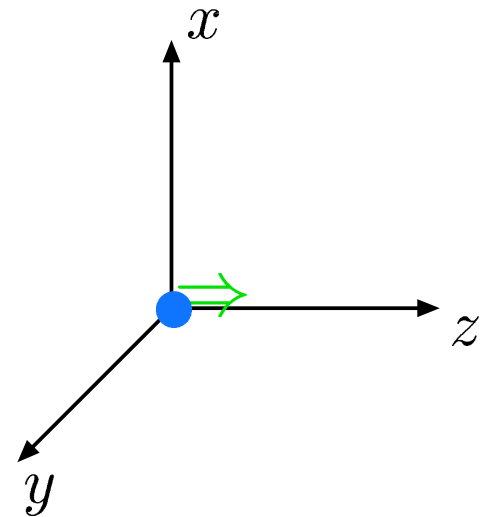
- a free spin-1/2 particle obeys Dirac equation

$$(\not{p} - m) u(p) = 0 \quad \text{where } \not{p} = \gamma_\mu p^\mu$$

- at rest, one has

$$u^+ = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$u^- = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$



- they are eigenstates to the spin operator \mathcal{S}_z :

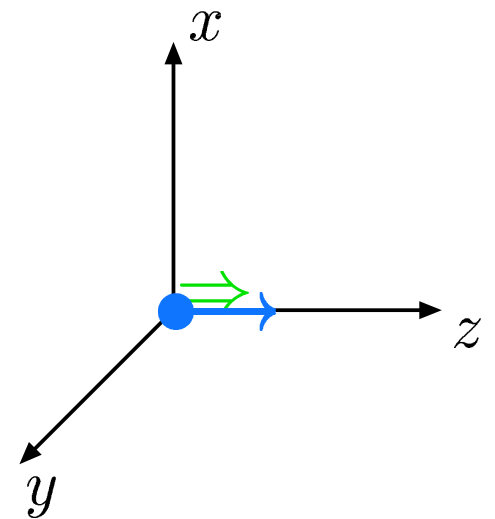
$$\mathcal{S}_z u^\pm = \pm \frac{1}{2} u^\pm$$

“polarized in z direction”

- now, we boost the particle to momentum $p = (E, 0, 0, p_z)$
- states become

$$u^+ = N \begin{pmatrix} 1 \\ 0 \\ \frac{p_z}{E+m} \\ 0 \end{pmatrix}$$

$$u^- = N \begin{pmatrix} 0 \\ 1 \\ 0 \\ \frac{-p_z}{E+m} \end{pmatrix}$$



- they are eigenstates of the **helicity operator** :

$$\frac{\vec{S} \cdot \vec{p}}{|\vec{p}|} u^\pm = \pm \frac{1}{2} u^\pm$$

- they are also eigenstates of the **Pauli-Lubanski** (polarization) operator :

$$\frac{1}{2} \gamma_5 \not{n} u^\pm = \pm \frac{1}{2} u^\pm$$

where $n = (p_z, 0, 0, E)/m$

- at high energy, $E \approx p_z$ they also become eigenstates to chirality γ_5 :

$$\gamma_5 u^\pm = \pm \frac{1}{2} u^\pm$$

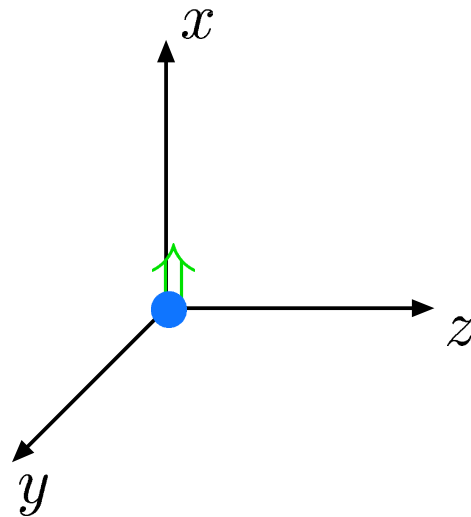
- back at rest : now let's construct

$$u^\uparrow = \frac{1}{\sqrt{2}} [u^+ + u^-] \quad u^\downarrow = \frac{1}{\sqrt{2}} [u^+ - u^-]$$

- they are eigenstates to the spin operator \mathcal{S}_x :

$$\mathcal{S}_x u^\uparrow = +\frac{1}{2} u^\uparrow \quad \mathcal{S}_x u^\downarrow = -\frac{1}{2} u^\downarrow$$

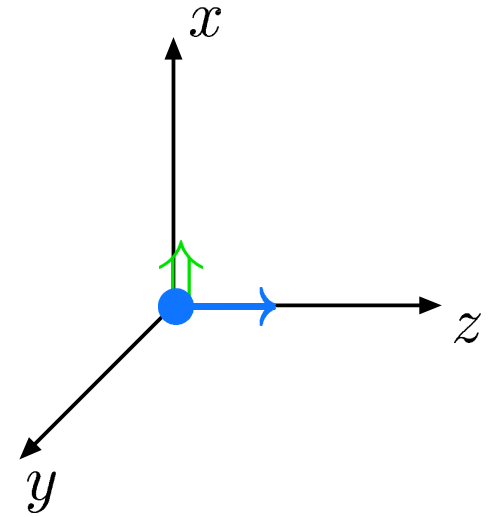
“polarized in x direction”



- now, we again boost the particle to momentum $p = (E, 0, 0, p_z)$

- states become

$$u^\uparrow = \frac{N}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ \frac{p_z}{E+m} \\ \frac{-p_z}{E+m} \end{pmatrix} \quad u^\downarrow = \frac{N}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \\ \frac{p_z}{E+m} \\ \frac{p_z}{E+m} \end{pmatrix}$$



- are still $u^\uparrow = (u^+ + u^-)/\sqrt{2}$ etc.

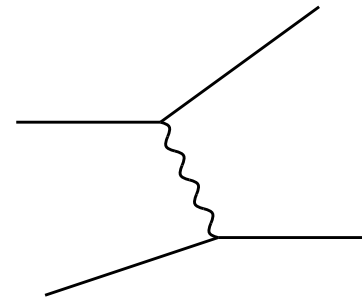
- they are eigenstates of the **Pauli-Lubanski** (polarization) operator :

$$\frac{1}{2} \gamma_5 \not{n} u^{\uparrow\downarrow} = \pm \frac{1}{2} u^{\uparrow\downarrow} \quad \text{where } n = (0, 1, 0, 0)$$

- they are **no longer** eigenstates of the **transverse-spin operator** :

$$\mathcal{S}_x u^\uparrow \neq +\frac{1}{2} u^\uparrow$$

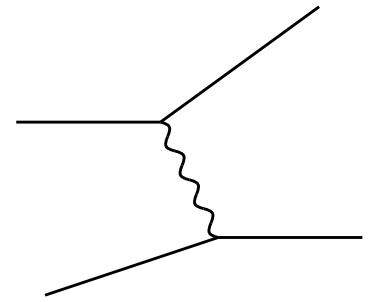
(2) Polarized $e\mu \rightarrow e\mu$ Scattering



- found angular dependence :

$$\begin{aligned} \frac{d\sigma}{d\Omega} \propto & \left(1 + s_{\parallel} s'_{\parallel}\right) R_1 + \left(1 - s_{\parallel} s'_{\parallel}\right) R'_1 + \left(s_{\parallel} + s'_{\parallel}\right) R_2 + \left(s_{\parallel} - s'_{\parallel}\right) R'_2 \\ & + s_{\perp} \left\{ \cos(\varphi) R_3 - \sin(\varphi) R_4 \right\} + s'_{\perp} \left\{ \cos(\varphi) R'_3 + \sin(\varphi) R'_4 \right\} \\ & + s'_{\parallel} s_{\perp} \left\{ \cos(\varphi) R_5 - \sin(\varphi) R_6 \right\} + s_{\parallel} s'_{\perp} \left\{ \cos(\varphi) R'_5 + \sin(\varphi) R'_6 \right\} \\ & + s_{\perp} s'_{\perp} \left\{ R_7 + \cos(2\varphi) R_8 - \sin(2\varphi) R_9 \right\} \end{aligned}$$

(2) Polarized $e\mu \rightarrow e\mu$ Scattering



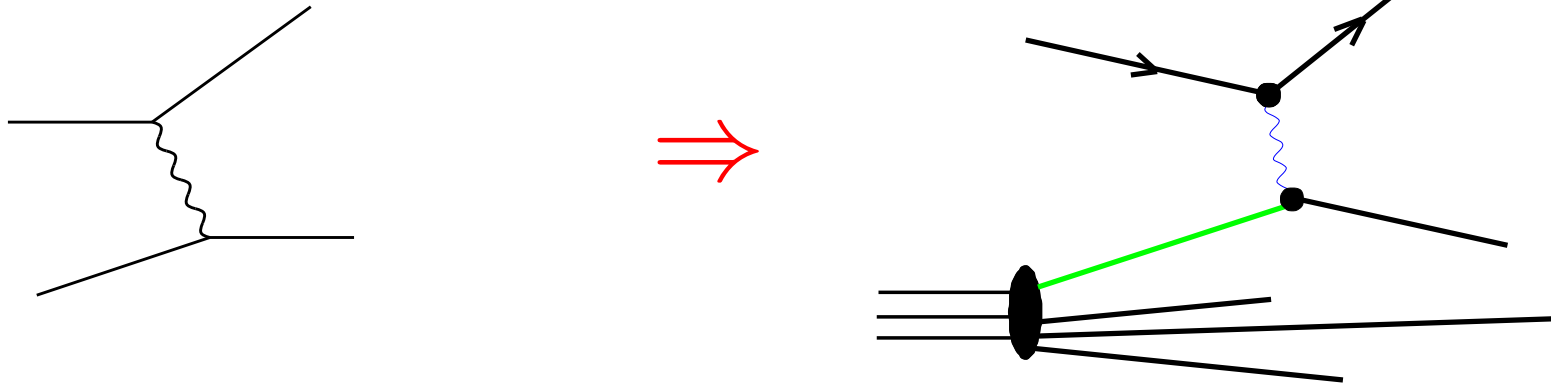
- found using **parity** and **helicity** conservation :

$$\begin{aligned} \frac{d\sigma}{d\Omega} \propto & \left(1 + s_{\parallel} s'_{\parallel}\right) R_1 + \left(1 - s_{\parallel} s'_{\parallel}\right) R'_1 + \left(s_{\parallel} + s'_{\parallel}\right) R_2 + \left(s_{\parallel} - s'_{\parallel}\right) R'_2 \\ & + s_{\perp} \left\{ \cos(\varphi) R_3 - \sin(\varphi) R_4 \right\} + s'_{\perp} \left\{ \cos(\varphi) R'_3 + \sin(\varphi) R'_4 \right\} \\ & + s'_{\parallel} s_{\perp} \left\{ \cos(\varphi) R_5 - \sin(\varphi) R_6 \right\} + s_{\parallel} s'_{\perp} \left\{ \cos(\varphi) R'_5 + \sin(\varphi) R'_6 \right\} \\ & + s_{\perp} s'_{\perp} \left\{ R_7 + \cos(2\varphi) R_8 - \sin(2\varphi) R_9 \right\} \end{aligned}$$

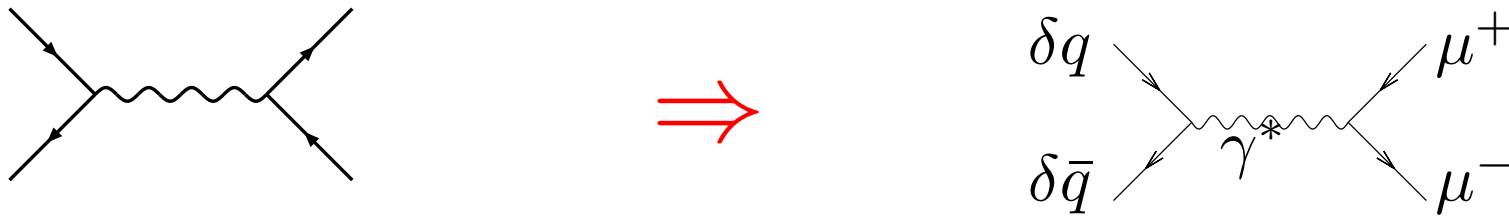
Why interesting ? We'll see :

- spin asymmetries in DIS and in inelastic hadronic scattering at RHIC may proceed via scattering off nucleon constituents – partons

- Example, DIS :



- Example, Drell-Yan dimuon production, $pp \rightarrow \mu^+ \mu^- X$

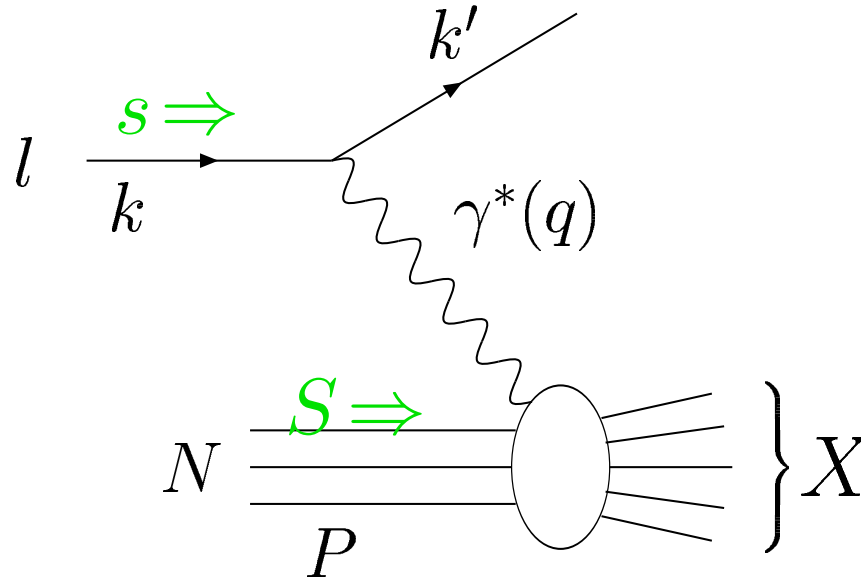


- therefore, understanding spin effects at elementary-particle level is crucial

Today :

- **Deeply-inelastic Scattering**
- **Polarized Parton Distributions**
- **Scaling and its violation**
- **Factorized cross sections**
- **What do DIS data tell us about the nucleon ?**

3.2 Deeply-inelastic lepton-nucleon scattering



$$Q^2 = -q^2 \gg m^2$$

$$x = \frac{Q^2}{2P \cdot q} = \frac{Q^2}{2m\nu}$$

- amplitude

$$\mathcal{M} = -e^2 \left(\frac{ig_{\mu\nu}}{Q^2} \right) \bar{u}(k') \gamma^\nu u(k, s) \langle X | J^\mu(0) | P, S \rangle$$

- cross section :

$$\text{cross section} \propto |\text{amplitude}|^2$$

- get

$$d\sigma = \frac{e^4}{Q^4} \sum_X \int \frac{d^3 k'}{(2\pi)^3 2E'} (2\pi)^4 \delta^4(k + P - k' - p_X)$$

$$\times \langle P, S | J^\mu(0) | X \rangle \langle X | J^\nu(0) | P, S \rangle [\bar{u}(k, s) \gamma_\nu u(k')] [\bar{u}(k') \gamma_\mu u(k, s)]$$

- this can be written as

$$\frac{d\sigma}{dE' d\Omega} = \frac{\alpha^2}{Q^4} \frac{E'}{E} \underbrace{\mathcal{L}_{\mu\nu}(k, q, \mathbf{s})}_{\text{leptonic}} \cdot \underbrace{\mathcal{W}^{\mu\nu}(P, q, \mathbf{S})}_{\text{hadronic}}$$

$$\frac{d\sigma}{dE' d\Omega} \propto \underbrace{\mathcal{L}_{\mu\nu}(k, q, \mathbf{s})}_{\text{leptonic}} \cdot \underbrace{\mathcal{W}^{\mu\nu}(P, q, \mathbf{S})}_{\text{hadronic}}$$

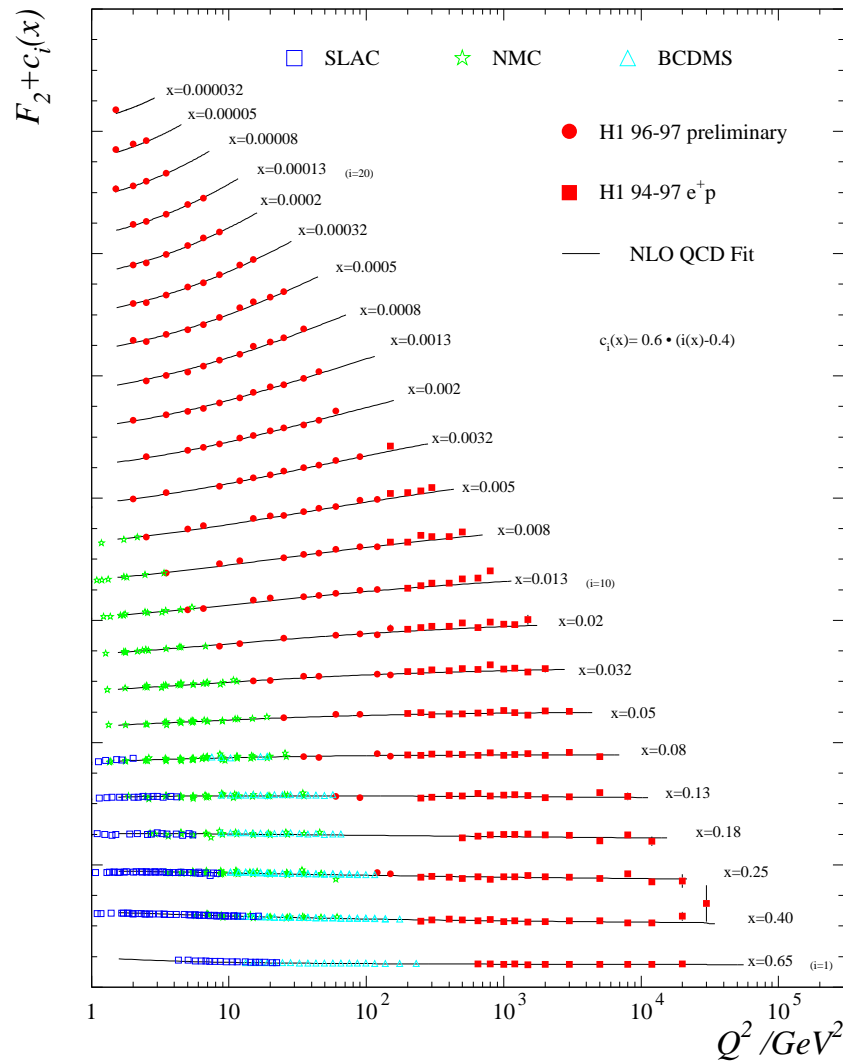
$\mathcal{L}_{\mu\nu}(k, q, \mathbf{s}) =$ calculable in QED

$$\begin{aligned} \mathcal{W}^{\mu\nu}(P, q, S) &= \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \langle P, S | J_\mu(z) J_\nu(0) | P, S \rangle \\ &= \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) F_2(x, Q^2) \\ &\quad + i M \varepsilon^{\mu\nu\rho\sigma} q_\rho \left[\frac{S_\sigma}{P \cdot q} g_1(x, Q^2) + \frac{S_\sigma(P \cdot q) - P_\sigma(S \cdot q)}{(P \cdot q)^2} g_2(x, Q^2) \right] \end{aligned}$$

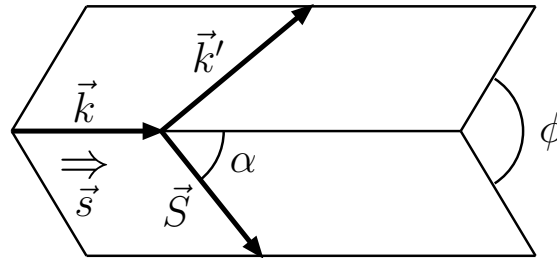
- F_i, g_i : nucleon structure functions

- spin-averaged cross section : $(y = 1 - E'/E)$

$$\frac{d^2\sigma}{dx dy} = \frac{4\pi\alpha^2}{Q^2 xy} \left[xy^2 F_1(x, Q^2) + (1 - y) F_2(x, Q^2) \right]$$



- for g_i : differences $\mathcal{W}^{\mu\nu}(P, q, S) - \mathcal{W}^{\mu\nu}(P, q, -S)$
- specialize to lepton with helicity λ and $\angle(\hat{k}, \hat{S}) \equiv \alpha$:



- find

$$\frac{d\sigma^{(\alpha)}}{dx dy d\phi} - \frac{d\sigma^{(\alpha+\pi)}}{dx dy d\phi} = \frac{\lambda e^4}{4\pi^2 Q^2} \times$$

$$\times \left\{ \cos \alpha \left\{ \left[1 - \frac{y}{2} - \frac{m^2 x^2 y^2}{Q^2} \right] g_1(x, Q^2) - \frac{2m^2 x^2 y}{Q^2} g_2(x, Q^2) \right\} \right.$$

$$\left. - \sin \alpha \cos \phi \frac{2mx}{Q} \sqrt{\left(1 - y - \frac{m^2 x^2 y^2}{Q^2} \right)} \left(\frac{y}{2} g_1(x, Q^2) + g_2(x, Q^2) \right) \right\}$$

- $\alpha = 0 : \Rightarrow g_1$
- $\alpha = \pi/2 : \Rightarrow y g_1 + 2 g_2$, suppressed m/Q

- experimentally: spin-asymmetries, e.g. case $\alpha = 0$:

$$A_{\parallel} = \frac{d\sigma(\rightarrow\leftarrow) - d\sigma(\rightarrow\Rightarrow)}{d\sigma(\rightarrow\leftarrow) + d\sigma(\rightarrow\Rightarrow)} = D(y) \frac{g_1(x, Q^2)}{F_1(x, Q^2)} \equiv D(y) A_1(x, Q^2)$$

- so far only “fixed-target” experiments :



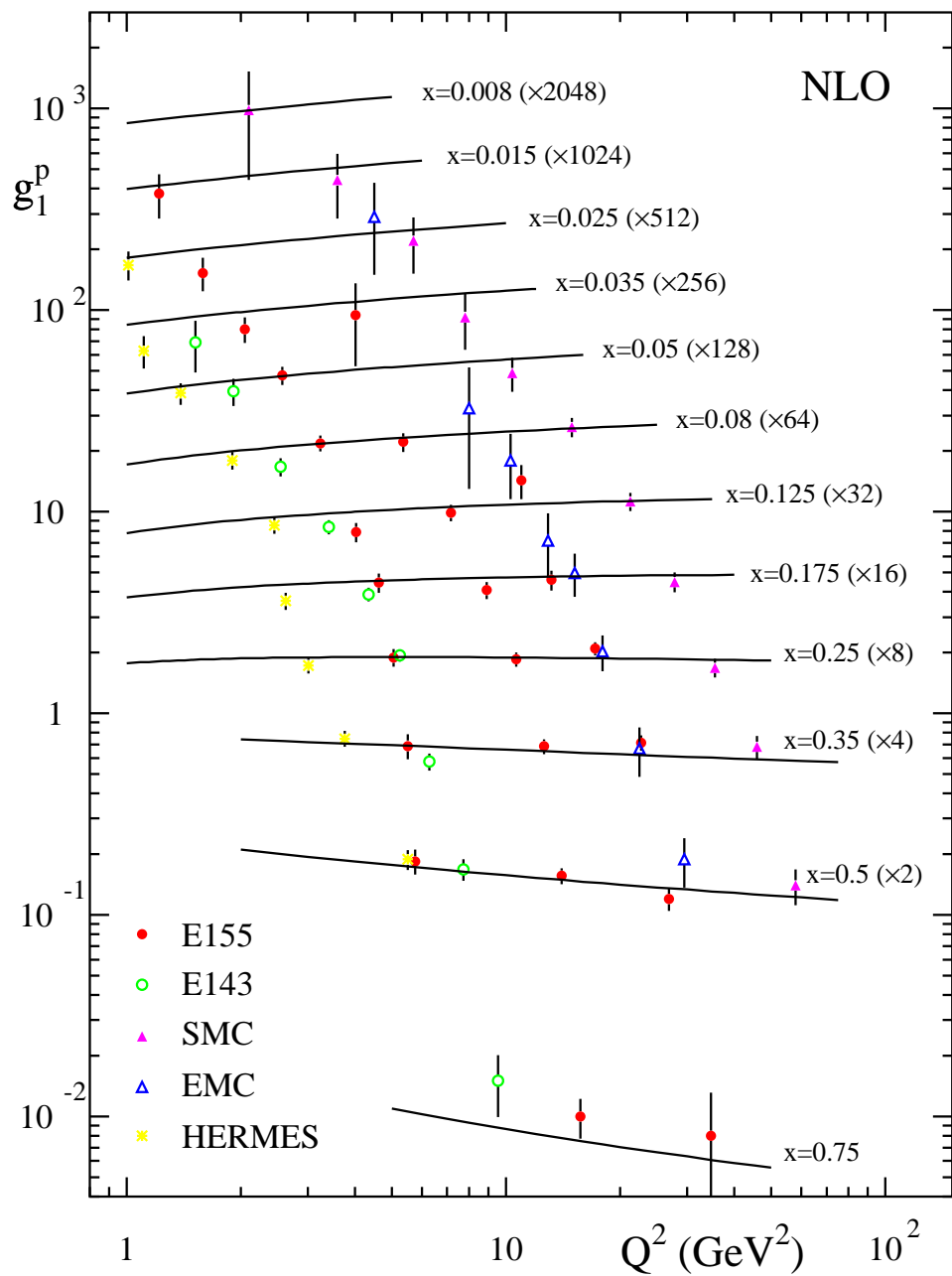
E80,130 (p); E142 (n); E143 (p, d); E154 (n);
E155 (p, d)



EMC, SMC (p, d)



HERMES (p, d, n)



3.3 Heuristic Parton Model

Feynman; Bjorken, Paschos

- target rest frame (recall, $x = Q^2/2m\nu$) :

$$P = (m, 0, 0, 0)$$

$$q = (\nu, 0, 0, \sqrt{\nu^2 + Q^2})$$

- boost to frame where nucleon has large momentum component p_3
(“infinite-momentum frame”) : $(\beta = p_3/\sqrt{p_3^2 + m^2})$

$$P^{\text{IMF}} \approx \left(p_3 + \frac{m^2}{2p_3}, 0, 0, p_3 \right)$$

$$q^{\text{IMF}} \approx \left(xp_3 - \frac{m\nu}{2p_3}, 0, 0, -xp_3 - \frac{m\nu}{2p_3} \right)$$

- time scales : Lorentz-dilated by $\gamma = \sqrt{p_3^2 + m^2}/m$!

– internal interactions : $\Delta t \sim \gamma \times \frac{1}{m} = \frac{\sqrt{p_3^2 + m^2}}{m^2} \approx \frac{p_3}{m^2}$

– DIS interaction :

phase $q^{\text{IMF}} \cdot z = \frac{1}{2} (q_0 - q_3) (t + z_3) + \frac{1}{2} (q_0 + q_3) (t - z_3)$

$$\approx xp_3 (t + z_3) - \frac{m\nu}{2p_3} (t - z_3)$$

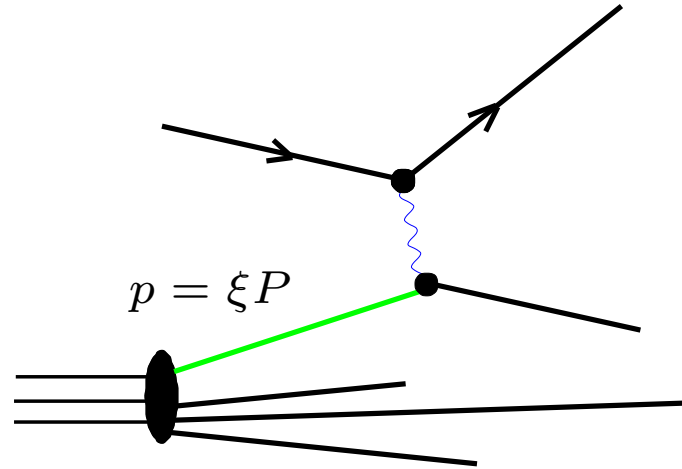
$$p_3 \rightarrow \infty \Rightarrow z_3 \approx -t \Rightarrow \Delta t' \sim \frac{p_3}{m\nu}$$

- therefore :

$$\frac{\Delta t'}{\Delta t} \sim \frac{m^2 x}{Q^2} \ll 1$$

- \rightarrow lepton sees “snapshot” of nucleon in virtual parton state

- scatters incoherently off “free” quark-partons :



- elastic $e q$ scattering : partonic Bjorken-variable = 1

$$1 = x_{\text{parton}} = \frac{Q^2}{2 \mathbf{p} \cdot \mathbf{q}} = \frac{Q^2}{2 \xi \mathbf{P} \cdot \mathbf{q}} = \frac{x}{\xi} \quad \Leftrightarrow \quad \boxed{\xi = x}$$

- ($x_{\text{parton}} \leq 1$ if scattering inelastic !)

- can calculate ep cross section :

$$\frac{d\sigma^{ep}}{dxdy}(x) = \sum_f \int_x^1 d\xi f(\xi) \underbrace{\frac{d\sigma^{ef}}{dxdy} \left(x_{\text{parton}} = \frac{Q^2}{2p \cdot q} = \frac{x}{\xi} \right)}_{\propto \delta(x_{\text{parton}} - 1)}$$

of partons of type $f = q, \bar{q}, g$

- in terms of structure functions, this becomes :

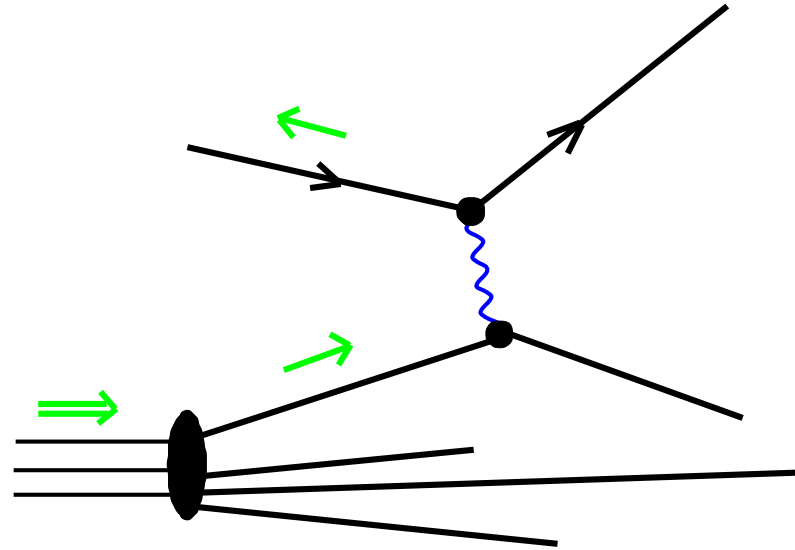
$$F_1(x) = \sum_f \int_x^1 \frac{d\xi}{\xi} f(\xi) \underbrace{\hat{F}_1^{\text{parton}} \left(x_{\text{parton}} = \frac{x}{\xi} \right)}_{\propto \delta(x_{\text{parton}} - 1)}$$

- therefore, can calculate structure functions :

$$F_1(x) = \frac{1}{2} \sum_q e_q^2 [q(x) + \bar{q}(x)] \quad F_2(x) = 2x F_1(x)$$

- Bjorken scaling \leftrightarrow structure of nucleon independent of resolution
- the physics : m has become irrelevant \Rightarrow depend only on $Q^2/\nu \propto x$

Polarized scattering : g_1 , too, can be interpreted in **parton model** !

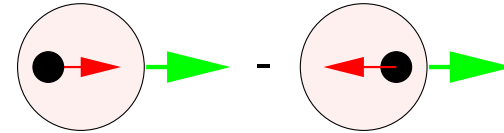


⇒ have to consider $e(\lambda_e) q(\lambda_q) \rightarrow eq$ etc., and :

- $f^+(\xi)$ # of partons with *same* helicity as nucleon
- $f^-(\xi)$ # of partons with *opposite* helicity

Define

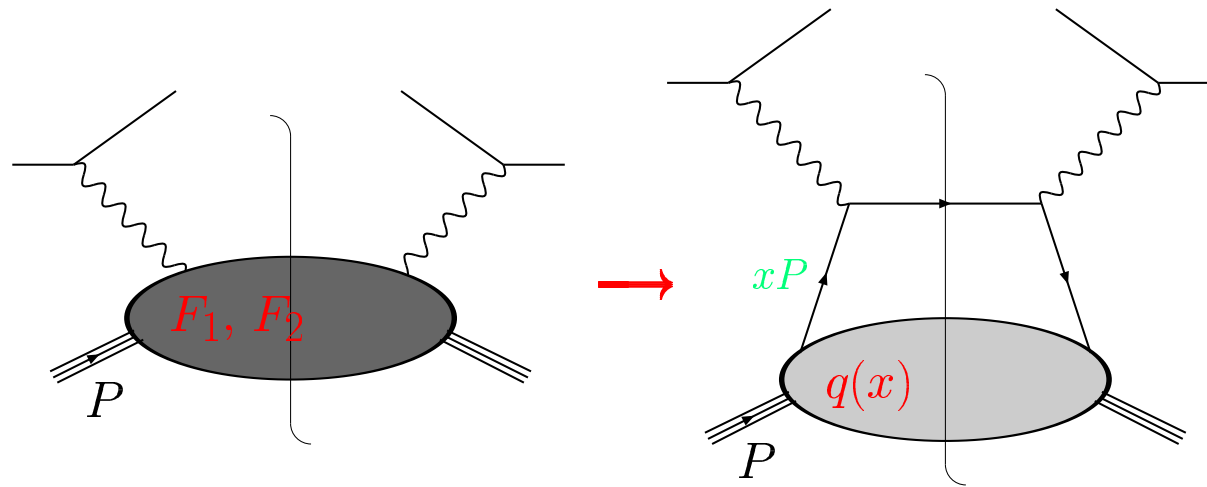
$$\Delta f(\xi) \equiv f^+(\xi) - f^-(\xi)$$



$$\Rightarrow g_1(x) = \frac{1}{2} \sum_q e_q^2 \left[\Delta q(x) + \Delta \bar{q}(x) \right]$$

$\Delta q, \Delta \bar{q}$: information on nucleon spin structure

Executive summary :



$$F_1(x) = \frac{1}{2} \sum_q e_q^2 [q(x) + \bar{q}(x)]$$

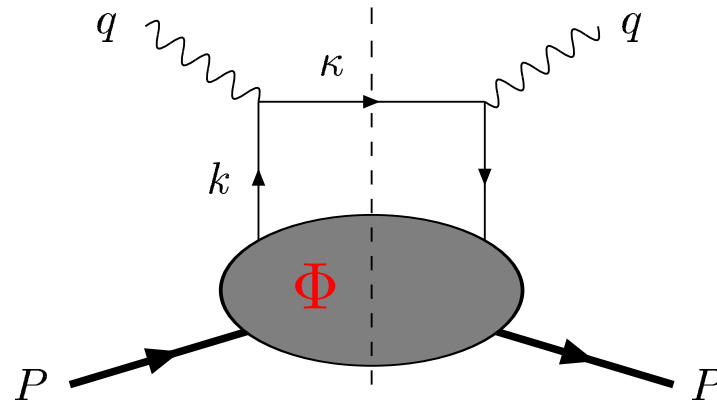
$$g_1(x) = \frac{1}{2} \sum_q e_q^2 [\Delta q(x) + \Delta \bar{q}(x)]$$

• write it out :

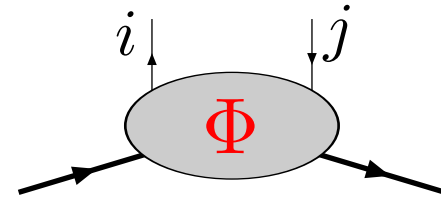
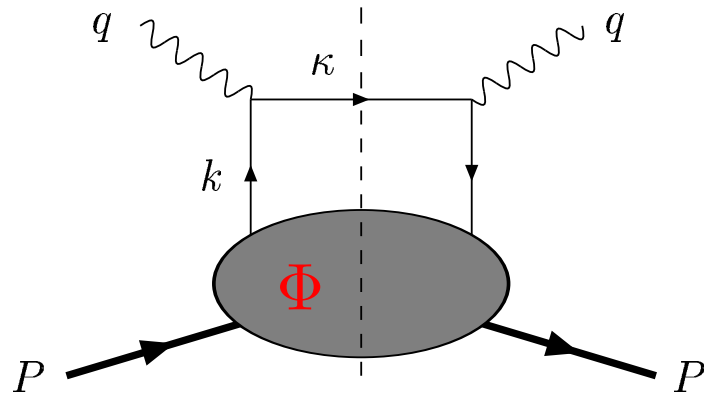
$$g_1 = \frac{1}{2} \left[\frac{4}{9} (\Delta u + \Delta \bar{u}) + \frac{1}{9} (\Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}) \right]$$

3.4 Systematics of polarized parton distributions

Parton model :



- Φ represents the structure of the nucleon !
- since the quark is described by a Dirac spinor, Φ is a 4×4 matrix components of the matrix related to polarization of quark



- find

$$\begin{aligned}
 \Phi_{ij}(k, P, S) &= \sum_X \int \frac{d^3 \mathbf{P}_X}{(2\pi)^3 2E_X} (2\pi)^4 \delta^4(P - k - P_X) \langle PS | \bar{\psi}_j(0) | X \rangle \langle X | \psi_i(0) | PS \rangle \\
 &= \int d^4 z e^{ik \cdot z} \langle PS | \bar{\psi}_j(0) \psi_i(z) | PS \rangle
 \end{aligned}$$

- this gives (one flavor only !):

$$\mathcal{W}^{\mu\nu} = e^2 \int \frac{d^4 k}{(2\pi)^4} \delta((k+q)^2) \text{Tr} [\Phi \gamma^\mu (\not{k} + \not{q}) \gamma^\nu]$$

- let's choose frame as follows :

- proton momentum : $P = (p, 0, 0, p)$,

- parton : $k^\mu \sim \xi P^\mu$

- virtual photon : $q^\mu = (P \cdot q) n^\mu - \xi P^\mu$

where $n = (1, 0, 0, -1)$ $(q^2 = -Q^2 \checkmark)$

- for convenience, let's for each 4-vector v introduce

$$v^+ = \frac{1}{2}(v_0 + v_3) \quad v^- = \frac{1}{2}(v_0 - v_3)$$

- that is, $P^+ = p, k^+ = \xi p, P^- = k^- = 0, n^+ = 0, n^- = 1$

- this gives : $\delta((k + q)^2) = \frac{1}{2P \cdot q} \delta(x - \xi) = \frac{1}{2P \cdot q} \delta\left(x - \frac{k^+}{P^+}\right)$

therefore :

$$\mathcal{W}^{\mu\nu} = \frac{e^2}{2} \underbrace{\int \frac{d^4 k}{(2\pi)^4} \delta\left(x - \frac{k^+}{P^+}\right)}_{\equiv \phi(x)} \text{Tr} \left[\Phi \gamma^\mu \not{p} \gamma^\nu \right]$$

- ϕ must have general expansion in terms of \not{P} , \not{n} , $\not{\mathcal{S}}$ etc.
- proton polarization vector $s^\mu = s_{\parallel} \frac{P^\mu}{m} + s_{\perp}^\mu$
- find leading contributions

$$\phi(x) = \frac{1}{2} \left[q(x) \not{P} + s_{\parallel} \Delta q(x) \gamma_5 \not{P} + \delta q(x) \not{P} \gamma_5 \not{\mathcal{S}}_{\perp} \right]$$

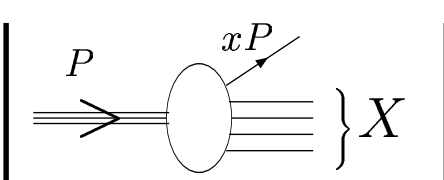
where we have three quark-parton densities

$$q(x) = \frac{1}{4\pi} \int dz^- e^{iz^- x P^+} \langle P, S | \bar{\psi}(0) \gamma^+ \psi(0, z^-, \mathbf{0}_{\perp}) | P, S \rangle$$

$$\Delta q(x) = \frac{1}{4\pi} \int dz^- e^{iz^- x P^+} \langle P, S | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(0, z^-, \mathbf{0}_{\perp}) | P, S \rangle$$

$$\delta q(x) = \frac{1}{4\pi} \int dz^- e^{iz^- x P^+} \langle P, S | \bar{\psi}(0) \gamma^+ \gamma_{\perp} \gamma_5 \psi(0, z^-, \mathbf{0}_{\perp}) | P, S \rangle$$

“unpolarized” – “longitudinally polarized” – “transversity”

Are these  ? Yes :

• Defining $\mathcal{P}^\pm \equiv \frac{1 \pm \gamma_5}{2}$ and $\mathcal{P}^{\uparrow\downarrow} \equiv \frac{1 \pm \gamma_\perp \gamma_5}{2}$ one can show

$$q(x) = \frac{1}{2} \sum_X \delta(P_X^+ - (1-x)P^+) \times \left[\left| \langle X | \mathcal{P}^+ \psi_+(0) | P, \lambda = \frac{1}{2} \rangle \right|^2 + \left| \langle X | \mathcal{P}^- \psi_+(0) | P, \lambda = \frac{1}{2} \rangle \right|^2 \right]$$

$$\Delta q(x) = \frac{1}{2} \sum_X \delta(P_X^+ - (1-x)P^+) \times \left[\left| \langle X | \mathcal{P}^+ \psi_+(0) | P, \lambda = \frac{1}{2} \rangle \right|^2 - \left| \langle X | \mathcal{P}^- \psi_+(0) | P, \lambda = \frac{1}{2} \rangle \right|^2 \right]$$

$$\delta q(x) = \frac{1}{2} \sum_X \delta(P_X^+ - (1-x)P^+) \times \left[\left| \langle X | \mathcal{P}^\uparrow \psi_+(0) | P, S_\perp = \frac{1}{2} \rangle \right|^2 - \left| \langle X | \mathcal{P}^\downarrow \psi_+(0) | P, S_\perp = \frac{1}{2} \rangle \right|^2 \right]$$

- Pictorially :

$$q(x) = \left| \begin{array}{c} \begin{array}{c} P, + \\ \Rightarrow \end{array} \left\{ \begin{array}{c} xP^+ \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} X \end{array} \right|^2 + \left| \begin{array}{c} \begin{array}{c} P, + \\ \Rightarrow \end{array} \left\{ \begin{array}{c} xP^- \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} X \end{array} \right|^2$$

$$\Delta q(x) = \left| \begin{array}{c} \begin{array}{c} P, + \\ \Rightarrow \end{array} \left\{ \begin{array}{c} xP^+ \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} X \end{array} \right|^2 - \left| \begin{array}{c} \begin{array}{c} P, + \\ \Rightarrow \end{array} \left\{ \begin{array}{c} xP^- \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} X \end{array} \right|^2$$

$$\delta q(x) = \left| \begin{array}{c} \begin{array}{c} P, \uparrow \\ \Rightarrow \end{array} \left\{ \begin{array}{c} xP^\uparrow \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} X \end{array} \right|^2 - \left| \begin{array}{c} \begin{array}{c} P, \uparrow \\ \Rightarrow \end{array} \left\{ \begin{array}{c} xP^\downarrow \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} X \end{array} \right|^2$$

- recall

$$\phi(x) = \frac{1}{2} \left[q(x) \not{P} + s_{\parallel} \Delta q(x) \gamma_5 \not{P} + \delta q(x) \not{P} \gamma_5 \not{S}_{\perp} \right]$$

- previously we had for pointlike particle at high energy :

$$\frac{1}{2} \not{p} \left[\mathbb{1} - s_{\parallel} \gamma_5 + \gamma_5 \not{s}_{\perp} \right]$$

with density matrix :

$$\rho = \frac{1}{2} \begin{pmatrix} 1 + s_{\parallel} & s_x - i s_y \\ s_x + i s_y & 1 - s_{\parallel} \end{pmatrix}$$

- → density matrix of a quark in the nucleon :

$$\rho_q = \frac{1}{2 q(x)} \begin{pmatrix} q(x) + s_{\parallel} \Delta q(x) & s_{\perp} \delta q(x) \\ s_{\perp} \delta q(x) & q(x) - s_{\parallel} \Delta q(x) \end{pmatrix}$$

Important :

- partonic structure of $\phi(x)$ doesn't mean that $q(x)$, $\Delta q(x)$, $\delta q(x)$ will all contribute to a process with arbitrary polarization !

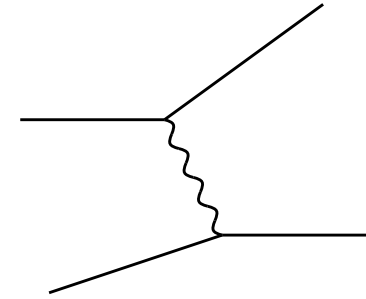
$$\mathcal{W}^{\mu\nu} = \frac{e^2}{2} \underbrace{\int \frac{d^4k}{(2\pi)^4} \delta\left(x - \frac{k^+}{P^+}\right) \text{Tr}\left[\Phi \gamma^\mu \not{k} \gamma^\nu\right]}_{\equiv \phi(x)} = \frac{e^2}{2} \text{Tr}\left[\phi(x) \gamma^\mu \not{k} \gamma^\nu\right]$$

$$\phi(x) = \frac{1}{2} \left[q(x) \not{P} + s_{\parallel} \Delta q(x) \gamma_5 \not{P} + \delta q(x) \not{P} \gamma_5 \not{S}_{\perp} \right]$$

- gives parton model expressions for F_1 , g_1 . . .
. . . but **no** contribution from transversity !
- in particular, g_2 does not measure transversity

Was expected : $\vec{e}\vec{q}$ scattering \leftrightarrow $\vec{e}\vec{\mu}$ scattering !

- recall we found using chirality conservation :



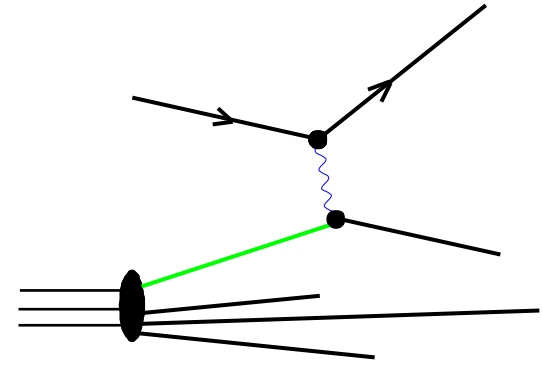
$$\frac{d\sigma}{d\Omega} \propto$$

$$\begin{aligned} & \left(1 + s_{\parallel} s'_{\parallel}\right) R_1 + \left(1 - s_{\parallel} s'_{\parallel}\right) R'_1 + \left(\cancel{s_{\parallel} + s'_{\parallel}}\right) R_2 + \left(\cancel{s_{\parallel} - s'_{\parallel}}\right) R'_2 \\ & + s_{\perp} \left\{ \cancel{\cos(\varphi) R_3} - \cancel{\sin(\varphi) R_4} \right\} + s'_{\perp} \left\{ \cancel{\cos(\varphi) R'_3} + \cancel{\sin(\varphi) R'_4} \right\} \\ & + s'_{\parallel} s_{\perp} \left\{ \cancel{\cos(\varphi) R_5} - \cancel{\sin(\varphi) R_6} \right\} + s_{\parallel} s'_{\perp} \left\{ \cancel{\cos(\varphi) R'_5} + \cancel{\sin(\varphi) R'_6} \right\} \\ & + s_{\perp} s'_{\perp} \left\{ \cancel{R_7} + \cancel{\cos(2\varphi) R_8} - \cancel{\sin(2\varphi) R_9} \right\} \end{aligned}$$

- no transverse-spin effect !

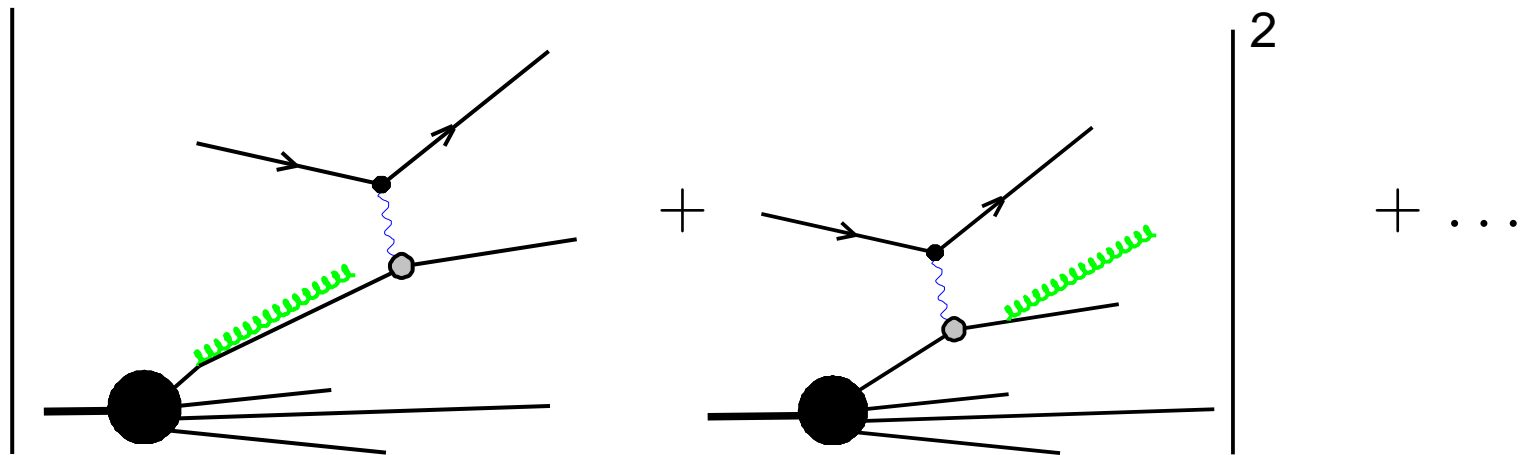
3.5 Scaling is violated !

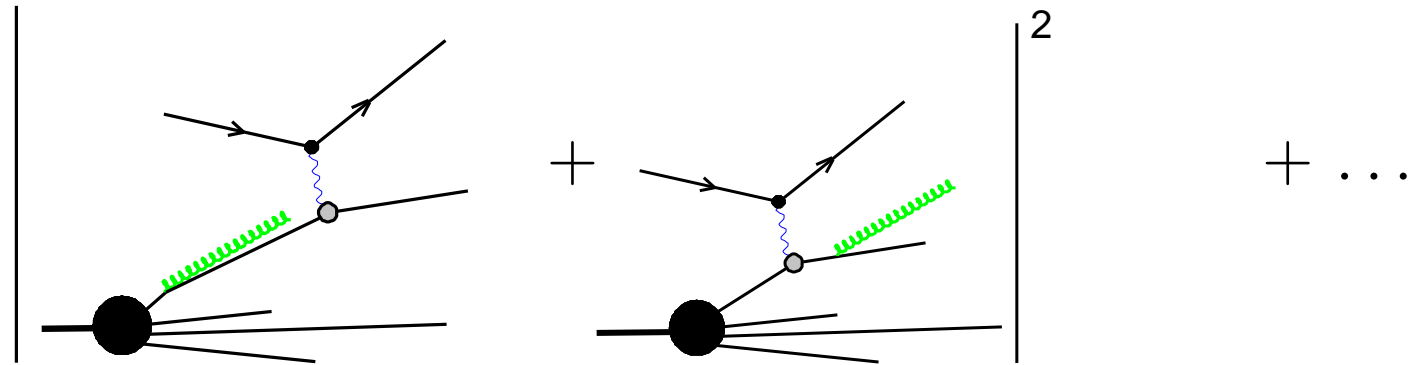
- parton model neglects interactions :



- parton states **not truly frozen**. Some states fluctuate on scales $\sim 1/Q$
→ expect dependence on Q^2

a typical interaction :





- try to calculate radiative correction – without spin for now.
- recall, parton model expression for structure function (one quark) :

$$F_1(x) = \int_x^1 \frac{d\xi}{\xi} q(\xi) \hat{F}_1^{\text{parton}} \left(\frac{x}{\xi} \right)$$

- a convenient, equivalent, way of handling is to take Mellin moments :

$$F_1^n \equiv \int_0^1 dx x^{n-1} F_1(x)$$

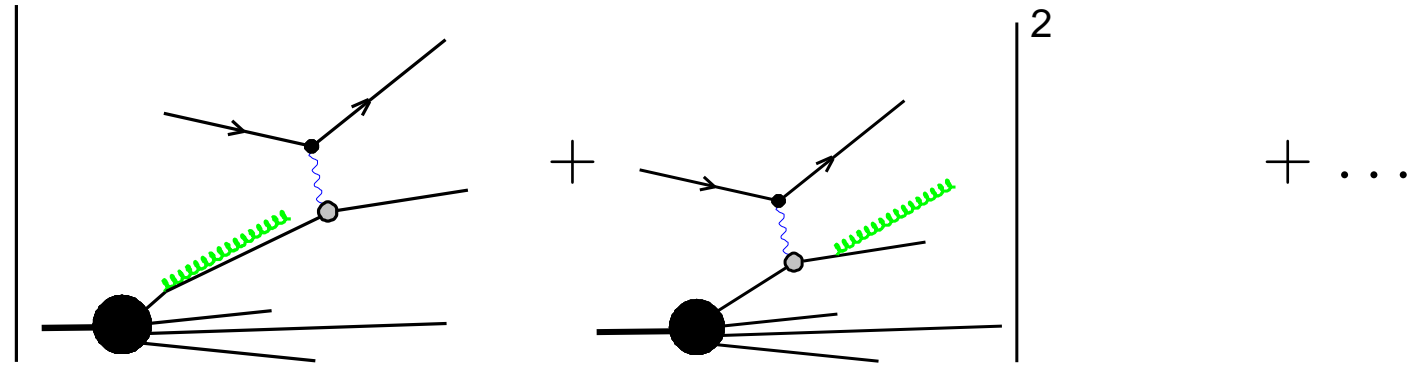
- this gives :

$$\begin{aligned}
 F_1^n &= \int_0^1 dx x^{n-1} \int_x^1 \frac{d\xi}{\xi} q(\xi) \underbrace{\hat{F}_1\left(\frac{x}{\xi}\right)}_{\equiv x_p} \\
 &= \int_0^1 d\xi \xi^{n-1} q(\xi) \int_0^1 dx_p x_p^{n-1} \hat{F}_1(x_p) \\
 &= q^n \cdot \hat{F}_1^n
 \end{aligned}$$

- convolution integral \rightarrow simple product

- Mellin-inverse :

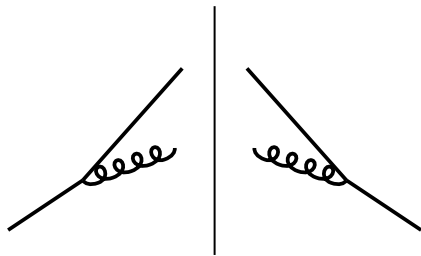
$$f(x) = \frac{1}{2\pi i} \int_{\mathcal{C}_n} dn x^{-n} f^n$$



- Need to integrate over gluon phase space. Find :

$$F_1^n \propto \left[1 + \frac{\alpha_s}{2\pi} \left(P_{qq}^n \underbrace{\int_0^Q \frac{dk_T}{k_T}}_{\text{log. divergent !}} + \underbrace{r^n}_{\text{finite}} \right) \right] q^n$$

- logarithmic divergence occurs when gluon is emitted **collinearly** by initial-state quark. P_{qq}^n is the residue of the singularity



$$P_{qq}^n = \text{“splitting function”}$$

- let's “tame” the singularity ! Give quark a mass $m \neq 0$:

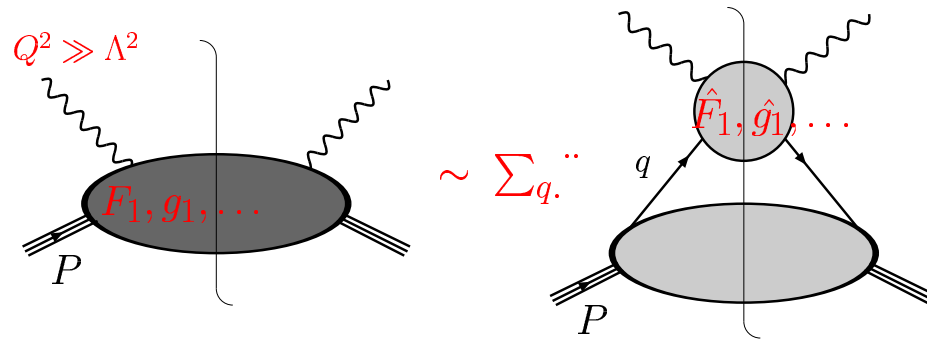
$$\begin{aligned}
 F_1^n &\propto \left[1 + \frac{\alpha_s}{2\pi} \left(P_{qq}^n \log \frac{Q}{m} + r^n \right) \right] q^n \\
 &= \left[1 + \frac{\alpha_s}{2\pi} \left(P_{qq}^n \left(\log \frac{Q}{\mu} + \log \frac{\mu}{m} \right) + r^n \right) \right] q^n \\
 &\approx \left[1 + \frac{\alpha_s}{2\pi} \left(P_{qq}^n \log \frac{Q}{\mu} + r^n \right) \right] \left[1 + \frac{\alpha_s}{2\pi} P_{qq}^n \log \frac{\mu}{m} \right] q^n \\
 &\equiv \left[1 + \frac{\alpha_s}{2\pi} \left(P_{qq}^n \log \frac{Q}{\mu} + r^n \right) \right] \tilde{q}^n \left(\frac{\mu}{m} \right)
 \end{aligned}$$

- all dependence on long-distance scales in “new” parton distributions
- all dependence on short-distance scale Q in $[\dots]$

- this procedure can be proven to really work : **“Factorized DIS”**

$$F_1^n(Q^2) \sim \sum_f \underbrace{f^n\left(\frac{\mu}{m}, \alpha_s(\mu)\right)}_{\text{pdf}} \underbrace{\hat{F}_1^n\left(\frac{Q}{\mu}, \alpha_s(\mu)\right)}_{\text{perturbative}}$$

- rescues – and generalizes – the **parton model** !



(Gross, Wilczek; Georgi, Politzer; Christ, Hasslacher, Mueller; Sterman, Libby; Amati et al.; Ellis et al.; Curci, Furmanski, Petronzio; Collins, Soper, Sterman; Collins; . . .)